

## Business Continuity Plan Statement

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Pursuant to Finra Rule 4370, Webull Financial LLC is committed to providing our clients with secure and reliable access to their accounts. Webull Financial LLC has developed a business continuity plan that will activate in the event of a significant business disruption such as a natural disaster, power outages, terrorism, inadvertent catastrophic mistakes or other events. Webull Financial LLC has engineered redundancy into most of its critical systems to permit a rapid recovery in the event of a sudden loss of processing ability. Our plan addresses the actions that we will take in the event that the significant disruption affects a single building, a business district, a citywide area or an entire region.

Webull Financial LLC presently maintains live data centers that is mirrored in alternate locations and are connected by dedicated T3 (primary) and Internet VPN (back up). They are capable of st of our plans call for recovery times within minutes to hours from the significant business duplicating and transferring certain critical records and processes. We have different planned recovery times depending on the severity of the significant business disruption. Mo disruption.

Our clearing firm, Apex Clearing Corp., backs up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within the shortest time period possible. Your orders and requests for funds and securities could be delayed during this period.

While no business continuity plan can eliminate all risk or delay resulting from an unexpected interruption in service, we continually assess, update and test our plans to ensure that Webull Financial LLC has taken the steps necessary to protect our clients. Our plan will be reviewed and updated and any modifications to our plan will be reflected in this Business Continuity Plan Statement, which will be posted on our Website at [www.webull-us.com](http://www.webull-us.com).

### Emergency Contact:

If after a significant business disruption, you cannot contact us as you usually do through our mobile application, or web site [www.webull-us.com](http://www.webull-us.com) or by phone at **1-888-828-0168**, you should call our emergency number at **1-917-725-2442** or email us at [support@webull-us.com](mailto:support@webull-us.com).

If you cannot access us through either of those means, you should contact our clearing firm, Apex Clearing Corporation, at **1-214-765-1001** for instructions on how to obtain prompt access to funds and securities, enter orders and process other trade related, cash, and security transfer transactions. You can also find helpful information about Apex Clearing Corporation's Emergency Policies at <http://www.apexclearing.com/emergency/>.

If you have questions about our business continuity planning, you can contact us at [support@webull-us.com](mailto:support@webull-us.com).